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FAX TRANSMISSION

OCT 17 2006

DATE: October 17, 2006

PTO IDENTIFIER: Application Number 10/551,614
Patent Number

Inventor: Gerald Sugerman et al.

MESSAGE TO: US Patent and Trademark Office

FAX NUMBER: (571) 273-8300

FROM: EDWARDS ANGELL PALMER & DODGE LLP

Jeffrey D. Hsi

PHONE: (617) 439-4444

Attorney Dkt. #: 61038NAT(50531)

PAGES (Including Cover Sheet): 18

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Transmittal (1 page)
Fee Transmittal (1 page)
Three-Month Request for Extension of Time (1 page)
Charge \$510,000 to deposit account 04-1105
Response to Notification of Missing Requirements (2 pages)
Preliminary Amendment (5 pages)
Copy of Notification of Missing Requirements (2 pages)
Combined Declaration and Power of Attorney (4 pages)

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P.O. Box 55874, Boston, Massachusetts 02205
Telephone: (617) 439-4444 Facsimile: (617) 439-4170

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PTO/SB/97 (09-04)

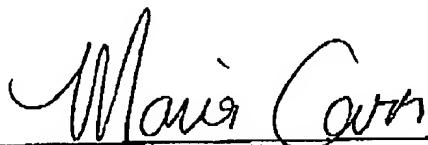
Approved for use through 07/31/2008. OMB 0651-0031
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Application No. (if known): 10/551,614

Attorney Docket No.: 61038NAT(50531)

Certificate of Transmission under 37 CFR 1.8

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office.

on October 17, 2006
Dato

Signature

Maria Carr

Typed or printed name of person signing Certificate

N/A
Registration Number, if applicable(617) 439-4444
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Note: Each paper must have its own certificate of transmission, or this certificate must identify each submitted paper.

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PTO/SB/21 (09-06)

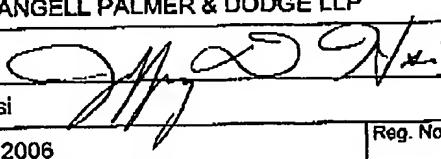
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TRANSMITTAL FORM (to be used for all correspondence after initial filing)		Application Number 10/551,614
		Filing Date September 30, 2005
		First Named Inventor Gerald Sugerman
		Art Unit N/A
		Examiner Name Not Yet Assigned
Total Number of Pages in This Submission	Attorney Docket Number 61038NAT(50531)	

ENCLOSURES (Check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input checked="" type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Part 2 Copy of Notice Declaration
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm Name	EDWARDS ANGELL PALMER & DODGE LLP		
Signature			
Printed name	Jeffrey D. Hsi	Reg. No.	40,024
Date	October 17, 2006		

Docket No.: 61038NAT(50531)
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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OCT 17 2006

In re Patent Application of:
Gerald Sugerman et al.

Application No.: 10/551,614

Confirmation No.: 5708

Filed: September 30, 2005

Art Unit: N/A

For: VOC-FREE LATEX COALESCENT
SYSTEMS

Examiner: Not Yet Assigned

RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS

MS Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

In response to the Notification of Missing Requirements mailed May 17, 2006, Applicant respectfully submits a Combined Declaration and Power of Attorney, a Petition for Extension of Time, and Part 2 Copy of Notice.

The Notification also states that the Applicant owes another \$425.00 for additional claim fees. We note however that we are filing herewith a preliminary amendment canceling and amending claims. Therefore, Applicant submits there are no additional fees due.

500858

Application No.: 10/551,614

2

Docket No.: 61038NAT(50531)

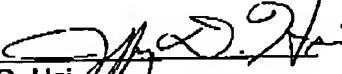
Please charge our Deposit Account No. 04-1105 in the amount of \$510 covering the fees set forth in 37 CFR 1.17(a)(3). The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 04-1105, under Order No. 61038NAT(50531).

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Dated: October 17, 2006

Respectfully submitted,

OCT 17 2006

By 
Jeffrey D. Hsi
Registration No. 40,024
EDWARDS ANGELL PALMER & DODGE
LLP
P.O. Box 55874
Boston, Massachusetts 02205
(617) 439-4444
Attorneys/Agents For Applicant

OCT-17-2006 TUE 04:35 PM E A P & D

50531 (61038 - NAT | 50531)

FAX NO. 6174394170

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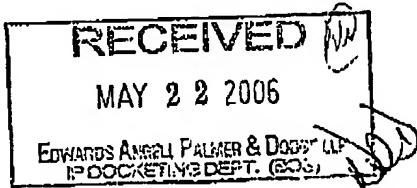


UNITED STATES PATENT AND TRADEMARK OFFICE

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United States Patent and Trademark Office
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U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/551,614	Gerald Sugerman	61038USN(50531)

21874
EDWARDS & ANGELL, LLP
P.O. BOX 55874
BOSTON, MA 02205



INTERNATIONAL APPLICATION NO.	
PCT/US04/10667	
I.A. FILING DATE	PRIORITY DATE
04/05/2004	04/03/2003

CONFIRMATION NO. 5708
371 FORMALITIES LETTER

OC000000018823311

Date Mailed: 05/17/2006

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 09/30/2005
- Copy of the International Search Report filed on 09/30/2005
- Small Entity Statement filed on 09/30/2005
- Request for Immediate Examination filed on 09/30/2005
- U.S. Basic National Fees filed on 09/30/2005
- Priority Documents filed on 09/30/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Additional claim fees of \$275 as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.
- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$425 for a Small Entity:

- Total additional claim fee(s) for this application is \$ 275

**SEE
OTHER SIDE**

OCT-17-2006 TUE 04:35 PM E A P & D

FAX NO. 6174394170

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■ \$275 for 21 total claims over 20.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

LAMONT M HUNTER

Telephone: (703) 308-9140 EXT 201

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/551,614	PCT/US04/10667	61038USN(50531)

FORM PCT/DO/EO/005 (371 Formalities Notice)

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PTO/SB/17 (07-06)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Effective on 12/08/2004. Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).	
Fee Transmittal For FY 2005	
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27	
TOTAL AMOUNT OF PAYMENT	(\$ 510.00)
Complete if Known	
Application Number	10/551,614
Filing Date	September 30, 2005
First Named Inventor	Gerald Sugerman
Examiner Name	Not Yet Assigned
Art Unit	N/A
Attorney Docket No.	61038NAT(50531)

METHOD OF PAYMENT (check all that apply)					
<input type="checkbox"/> Check	<input type="checkbox"/> Credit Card	<input type="checkbox"/> Money Order	<input type="checkbox"/> None	<input type="checkbox"/> Other (please identify): _____	
<input checked="" type="checkbox"/> Deposit Account Deposit Account Number: 04-1105			Dobell Account Name: Edwards Angell Palmer & Dodge LLP		
For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)					
<input checked="" type="checkbox"/> Charge fee(s) indicated below		<input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee			
<input checked="" type="checkbox"/> Charge any additional fee(s) or underpayment of fee(s) under 37 CFR 1.16 and 1.17		<input checked="" type="checkbox"/> Credit any overpayments			

FEE CALCULATION							
1. BASIC FILING, SEARCH, AND EXAMINATION FEES							
Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee Paid (\$)
Utility	300	150	500	250	200	100	_____
Design	200	100	100	50	130	65	_____
Plant	200	100	300	150	160	80	_____
Reissue	300	150	500	250	600	300	_____
Provisional	200	100	0	0	0	0	_____
2. EXCESS CLAIM FEES							
Fee Description							
Each claim over 20 (including Reissues)							
50 25							
Each independent claim over 3 (including Reissues)							
200 100							
Multiple dependent claims							
360 180							
Total Claims	Extra Claims	Fee (\$)	Fee Paid (\$)	Multiple Dependent Claims			
Indep. Claims	Extra Claims	Fee (\$)	Fee Paid (\$)	Fee (\$)	Fee Paid (\$)		
HP = highest number of total claims paid for, if greater than 20.							
HP = highest number of independent claims paid for, if greater than 3.							
3. APPLICATION SIZE FEE							
If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).							
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)			
_____ - 100 = _____ /50 _____ (round up to a whole number) x _____ = _____							
4. OTHER FEE(S)							
Non-English Specification, \$130 fee (no small entity discount)							
Other (e.g., late filing surcharge): 2253 Extension for response within third month							
510.00							

SUBMITTED BY	
Signature	
Name (Print/Type)	Jeffrey D. Hsi
Registration No. (Attorney/Agent)	40,024
Telephone	(617) 439-4444
Date	October 17, 2006